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U.S.PTO

PTO/SB/05 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032
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UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

| | |
|------------------------|---|
| Attorney Docket No. | 500.43703X00 |
| First Inventor | Yoshimasa MASUOKA |
| Title | METHOD FOR GENERATING POLICY RULES AND METHOD FOR CONTROLLING JOBS USING THE POLICY RULES |
| Express Mail Label No. | |

APPLICATION ELEMENTS
SEE MPEP chapter 600 concerning utility patent application contents.ADDRESS TO:
Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. Applicant claims small entity status.
See 37 CFR 1.27.

3. Specification [Total Pages: 44]
(preferred arrangement set forth below)
-Descriptive title of the invention
-Cross Reference to Related Applications
-Statement Regarding Fed sponsored R & D
-Reference to sequence listing, a table, or a computer program listing appendix
-Background of the Invention
-Brief Summary of the Invention
-Brief Description of the Drawings (if filed)
-Detailed Description
-Claim(s)
-Abstract of the Disclosure

4. Drawing(s) (35 U.S.C. 113) [Total Sheets: 9]

5. Oath or Declaration [Total Sheets: 3]
a. Newly executed (original or copy)
b. Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
Named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)

6. Application Data Sheet. See 37 CFR 1.76

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. CD-ROM or CD-R (2 copies); or
ii. paper
c. Statements verifying identity of above copies

| ACCOMPANYING APPLICATION PARTS | | |
|---|---|-----------------------------|
| 9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & documents(s)) | | |
| 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement | <input checked="" type="checkbox"/> Power of Attorney | (when there is an assignee) |
| 11. <input type="checkbox"/> English Translation Document (if applicable) | | |
| 12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 | <input checked="" type="checkbox"/> Copies of IDS Citations | |
| 13. <input type="checkbox"/> Preliminary Amendment | | |
| 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) | | |
| 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) | | |
| 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. | | |
| 17. <input checked="" type="checkbox"/> Other: <u>Claim for Foreign Priority; Credit Card Payment Form; Figs. 1-10:</u> | | |

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP) of prior application No.:

Prior application information: Examiner: _____ Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

| | | | | |
|---|--------------------------------------|----------------|----------|---|
| <input checked="" type="checkbox"/> Customer Number | 020457 | | | <input type="checkbox"/> Correspondence address below |
| Name | ANTONELLI, TERRY, STOUT & KRAUS, LLP | | | |
| Address | | | | |
| City | State | | Zip Code | |
| Country | Telephone | (703) 312-6600 | Fax | (703) 312-6666 |
| Name (Print/Type) | Registration No. (Attorney/Agent) | | | 32,173 |
| Signature | | | | Date |

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. PTO FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27TOTAL AMOUNT OF PAYMENT **(\$)** 810.00

Complete if Known

| | |
|----------------------|-------------------|
| Application Number | Not Yet Assigned |
| Filing Date | March 26, 2004 |
| First Named Inventor | Yoshimasa MASUOKA |
| Examiner Name | |

METHOD OF PAYMENT (check all that apply)

 Check Credit Card Money Order Other None
 Deposit Account:

| | |
|------------------------|--------------------------------------|
| Deposit Account Number | 01-2135 |
| Deposit Account Name | Antonelli, Terry, Stout & Kraus, LLP |

The Director is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments

Charge any additional fee(s) during the pendency of this application.

Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | Small Entity | Fee | Fee | Fee | Fee | Fee Description |
|--------------|--------------|------|-----|------------------------|-----|-----------------|
| Code | | Code | | Code | | |
| 1001 | 770 | 2001 | 385 | Utility filing fee | | |
| 1002 | 340 | 2002 | 170 | Design filing fee | | |
| 1003 | 530 | 2003 | 265 | Plant filing fee | | |
| 1004 | 770 | 2004 | 385 | Reissue filing fee | | |
| 1005 | 160 | 2005 | 80 | Provisional filing fee | | |

SUBTOTAL (1)

770

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| | | Fee from below | Fee Paid |
|--------------------|---|----------------|----------|
| Total Claims | 8 | -20** = 0 | x = |
| Indep. Claims | 2 | -3** = 0 | x = |
| Multiple Dependent | | | = |

Large Entity

| Large Entity | Small Entity | Fee Description |
|--------------|--------------|--|
| Fee | Fee | Fee |
| 1202 | 18 | 2202 9 |
| | | Claims in excess of 20 |
| 1201 | 86 | 2201 43 |
| | | Independent claims in excess of 3 |
| 1203 | 290 | 2203 145 |
| | | Multiple dependent claim, if not paid |
| 1204 | 86 | 2204 43 |
| | | ** Reissue independent claims over original patent |
| 1205 | 18 | 2205 9 |
| | | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) \$

**or number previously paid, if greater; For Reissues, see above.

3. ADDITIONAL FEES

Large Entity

Small Entity

| Fee Code (\$) | Fee Code (\$) | Fee Description | Fee Paid |
|---------------|---------------|--|----------|
| 1051 | 130 | Surcharge – late filing fee or oath | |
| 1052 | 50 | Surcharge – late provisional filing fee or cover sheet | |
| 1053 | 130 | Non-English specification | |
| 1812 | 2,520 | For filing a request for ex parte reexamination | |
| 1804 | 920* | Requesting publication of SIR prior to Examination action | |
| 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 | 110 | Extension for reply within first month | |
| 1252 | 420 | Extension for reply within second month | |
| 1253 | 950 | Extension for reply within third month | |
| 1254 | 1,480 | Extension for reply within fourth month | |
| 1255 | 2,010 | 1,005 Extension for reply within fifth month | |
| 1401 | 330 | Notice of Appeal | |
| 1402 | 330 | Filing a brief in support of an appeal | |
| 1403 | 290 | Request for oral hearing | |
| 1451 | 1,510 | Petition to institute a public use proceeding | |
| 1452 | 110 | Petition to revive – unavoidable | |
| 1453 | 1,330 | Petition to revive – unintentional | |
| 1501 | 1,330 | Utility issue fee (or reissue) | |
| 1502 | 480 | Design issue fee | |
| 1503 | 640 | Plant issue fee | |
| 1406 | 130 | Petitions to the Commissioner | |
| 1807 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 1806 | 180 | Submission of Information Disclosure Stmt | |
| 8021 | 40 | Recording each patent assignment per property (times number of properties) | 40.00 |
| 1809 | 770 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 1810 | 770 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 1801 | 770 | Request for Continued Examination (RCE) | |
| 1802 | 900 | Request for expedited examination of a design application | |

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBMITTED BY

Complete (if applicable)

| | | | | | |
|-------------------|---|-----------------------------------|--------|-----------|--------------|
| Name (Print/Type) | Paul J. Skwierawski | Registration No. (Attorney/Agent) | 32,173 | Telephone | 703-312-6600 |
| Signature |  | | | Date | 03/26/04 |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.